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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Inventor(s): James Peterson et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR
TAX SENSITIVE PORTFOLIO
OPTIMIZATION

Group Art Unit: 2161

Examiner: Unknown

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Technology Center 2100

**LETTER TO THE COMMISSIONER
FOR PATENTS**

121 Spear Street, Suite 290
San Francisco, CA 94105
(415) 512-1312

Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited
with the United States Postal Service as First Class Mail in an
envelope, addressed to: Commissioner for Patents,
Washington, DC 20231 on October 31, 2002.

STALLMAN & POLLOCK LLP

Dated: 10/31/2002 By: Georgia A. Stith

Georgia A. Stith

Sir:

Enclosed for filing in the above-identified application are:

1. Submission of Revocation of Prior Powers and Appointment of New Counsel by Assignee (including executed Revocation); and
2. Status Request.

Dated: October 31, 2002

Respectfully submitted,

STALLMAN & POLLOCK LLP

By: Brian J. Keating

Brian J. Keating
Reg. No. 39,520

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Attorneys for Applicant(s) **TC 2100 CSO**
STATUS RESPONSE DATE

Atty Docket No. SCHB-2700

Initials: LK NOV 12 2002
EXP'D OA: 0-3
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PATENT

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Group No.: 2161

Examiner: Unknown

**SUBMISSION OF REVOCATION OF
PRIOR POWERS AND APPOINTMENT
OF NEW COUNSEL BY ASSIGNEE**

121 Spear Street, Suite 290
San Francisco, CA 94105

Commissioner for Patents
Washington, DC 20231

Sir:

Enclosed for filing please find a duly executed Revocation of Prior Powers and
Appointment of New Counsel by Assignee for the above-identified application which specifies
an Assignment recordal date of September 5, 2000, at Reel 11066, Frame 875, and shows
Assignee's entire right, title and interest to establish ownership.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Date: October 31, 2002

By:


Brian J. Keating (Reg. No. 39,520)

Attorneys for Applicant(s)

121 Spear Street, Suite 290
San Francisco, CA 94105
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Atty Docket No.: SCHB-2700



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REVOCATION OF PRIOR POWERS
AND APPOINTMENT OF NEW
COUNSEL BY ASSIGNEE

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Commissioner for Patents
Washington, D.C. 20231

Sir:

CHARLES SCHWAB & CO., assignee of the entire right title and interest in the above-identified application by assignment dated September 5, 2000, which assignment was recorded in the Patent and Trademark Office on September 5, 2000, at Reel 11066, frame 875, hereby revokes all prior powers of attorney and appoints the members of the firm of STALLMAN & POLLOCK LLP, a firm composed of:

Michael A. Stallman	29,444	Kathleen A. Frost	37,326
Michael J. Pollock	29,098	Brian J. Keating	39,520

as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

STALLMAN & POLLOCK LLP
Attn: Brian J. Keating
121 Spear Street, Suite 290
San Francisco, CA 94105

Telephone: (415) 512-1312
Facsimile: (415) 512-1362

CHARLES SCHWAB & CO.

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Dated: 10/31/02

By: _____
Name: Yusuf Cassim
Title: Vice President & Associate General Counsel